

# Completions of Dieudonné complexes and Dieudonné algebras

Ravi Fernando – fernando@berkeley.edu

September 19, 2018\*

## 1 Introduction

Where we're going: given an  $\mathbb{F}_p$ -algebra  $R$ , we want to construct a canonical complex computing its crystalline cohomology. Our first attempt is to lift  $R$  to characteristic zero, along with a Frobenius map, and take the  $p$ -completed de Rham complex of this lift  $\tilde{R}$ . This computes the right cohomology, but it has an obvious flaw: as a complex, it depends on our choice of lift.

To fix this problem, we will view  $\widehat{\Omega}_{\tilde{R}}$  as a Dieudonné complex (or better, a Dieudonné algebra—you can probably imagine roughly what this means, and we'll define it later today), and we will apply two homological algebra operations to this: saturation and (“ $V$ -adic”) completion (= strictification). These operations will enlarge our complex considerably. We will eventually show that the output of this process satisfies a universal property depending only on  $R$ , which implies that it is well-defined and functorial in  $R$ .

The main goals for this talk are as follows: introduce the last completion operation  $\mathcal{W}$  and check that it behaves as expected, define Dieudonné algebras, and finally discuss some properties of the de Rham complex and its  $p$ -adic completion as Dieudonné algebras.

## 2 The completion of a Dieudonné complex

Let  $M^*$  be a saturated Dieudonné complex. Last time we saw how to endow  $M^*$  with a Verschiebung operator  $V$ , a homomorphism of graded abelian groups satisfying  $VF = FV = p$ ,  $Vd = pdV$ , and  $FdV = d$ . This allows us to make the following definition:

**Definition 2.1.** For  $M^*$  a saturated Dieudonné complex, we set  $\mathcal{W}_r(M)^* = M^*/(\text{im } V^r + \text{im } dV^r)$  for  $r \geq 0$ . These come equipped with quotient maps  $\mathbb{R} : \mathcal{W}_{r+1}(M) \rightarrow \mathcal{W}_r$ , which allow us to form the *completion*

$$\mathcal{W}(M)^* = \lim_{\leftarrow} (\cdots \rightarrow \mathcal{W}_2(M)^* \rightarrow \mathcal{W}_1(M)^* \rightarrow \mathcal{W}_0(M)^* = 0). \quad (1)$$

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\*Notes for a talk in Berkeley's number theory seminar, on Bhatt-Lurie-Mathew's paper *Revisiting the de Rham-Witt complex*.

Clearly, this is a complex of abelian groups equipped with a morphism  $\rho_M : M^* \rightarrow \mathcal{W}(M)^*$ . We have several claims:

1.  $\mathcal{W}(M)^*$  is naturally equipped with the structure of a Dieudonné complex, and is functorial in  $M^*$ .
2.  $\mathcal{W}(M)^*$  is saturated.
3. Define a saturated Dieudonné complex  $M^*$  to be *strict* if  $M^* \rightarrow \mathcal{W}(M)^*$  is an isomorphism. Then  $\mathcal{W}(M)^*$  is strict, and it is universal among strict Dieudonné complexes equipped with a map from  $M^*$ .
4. The category  $\mathbf{DC}_{\text{str}}$  of strict Dieudonné complexes is equivalent to the category of so-called *strict Dieudonné towers* (to be defined soon), which will package together the data of  $\mathcal{W}_r(M)^*$  for all  $r$  without assuming that they actually come from some  $M^*$ .
5. There is a “generalized Cartier isomorphism” relating  $\mathcal{W}_r(M)^*$  to  $H^*(M^*/p^r M^*)$ .

Before checking these properties, let’s look at two examples.

First, let  $A = A[0]$  be any abelian group viewed as a complex concentrated in degree zero. Then any group map  $F : A \rightarrow A$  gives  $A$  the structure of a Dieudonné complex. This is saturated if and only if  $A$  is  $p$ -torsion-free and  $F$  is an isomorphism. If so, then  $\text{im } V^r + \text{im } dV^r = p^r A$ , so  $\mathcal{W}(A)^*$  is the  $p$ -adic completion.

A more complicated example is the *free strict Dieudonné complex on one generator  $x$* . We set

$$M_0 = \left\{ \sum_{m \geq 0} a_m F^m x + \sum_{n > 0} b_n V^n x : a_m, b_n \in \mathbb{Z}_p, a_m \rightarrow 0 \right\} \quad (2)$$

$$M_1 = \left\{ \sum_{m \geq 0} c_m F^m dx + \sum_{n > 0} d_n dV^n x : c_m, d_n \in \mathbb{Z}_p, c_m \rightarrow 0 \right\} \quad (3)$$

and

$$d \left( \sum_{m \geq 0} a_m F^m x + \sum_{n > 0} b_n V^n x \right) = \sum_{m \geq 0} p^m a_m F^m dx + \sum_{n > 0} b_n dV^n x. \quad (4)$$

(Note that there is no condition on  $b_n$  or  $d_n$ , because  $V^n$  and  $dV^n$  already converge to 0.) Then  $M^* = (M_0 \xrightarrow{d} M_1)$  is a strict Dieudonné complex, and it is freely generated by  $x = F^0 x \in M^0$  in the sense that for any  $N^* \in \mathbf{DC}_{\text{str}}$ , evaluation on  $x$  gives a bijection

$$\text{Hom}_{\mathbf{DC}}(M^*, N^*) \rightarrow N^0. \quad (5)$$

Let’s start checking our claimed properties. First, in order for  $\mathcal{W}(M)^*$  to be a Dieudonné complex, we must endow it with a Frobenius. To do so, we note that since  $FV = p$  and  $FdV = d$ ,  $F$  maps  $\text{im } V^r + \text{im } dV^r$  into  $\text{im } V^{r-1} + \text{im } dV^{r-1}$  and thus induces a unique map  $\mathcal{W}_r(M)^* \rightarrow \mathcal{W}_{r-1}(M)^*$ . Passing to the inverse limit gives a Frobenius map  $F : \mathcal{W}(M)^* \rightarrow \mathcal{W}(M)^*$ , which

satisfies the relation  $dF = pFd$  because this is true at all finite levels. This makes  $\mathcal{W}(M)^*$  a Dieudonné complex.

For future reference, we note the  $V$ -analogue of this as well:  $V$  maps  $\text{im } V^n + \text{im } dV^n$  into  $\text{im } V^{n+1} + \text{im } dV^{n+1}$  (because  $Vd = pdV$ ), so we get a map  $V : \mathcal{W}_r(M)^* \rightarrow \mathcal{W}_{r+1}(M)^*$ , which likewise passes to the limit.

Functoriality is clear: given  $f : M^* \rightarrow N^*$ , we get maps  $f : \mathcal{W}_r(M^*) \rightarrow \mathcal{W}_r(N^*)$  for all  $r$ , compatible with the respective  $d$  and  $F$  maps. Then  $f$  passes to the limit, as does its compatibility with  $d$  and  $F$ .

## 2.1 Strict Dieudonné towers

At this point, it is useful to introduce the notion of a strict Dieudonné tower. This will abstract away the properties of the system  $(\mathcal{W}_r(M)^*)$ , and it will often be useful to work with the tower rather than the completion  $\mathcal{W}(M)^*$ .

**Definition 2.2.** A *strict Dieudonné tower* is an inverse system of complexes of abelian groups

$$\cdots \rightarrow X_2^* \xrightarrow{R} X_1^* \xrightarrow{R} X_0^*, \quad (6)$$

equipped with maps of graded groups  $F : X_{r+1}^* \rightarrow X_r^*$  and  $V : X_r^* \rightarrow X_{r+1}^*$ , satisfying the following properties:

1.  $X_0^*$  is the zero complex.
2.  $R : X_{r+1}^* \rightarrow X_r^*$  is surjective for all  $r$ .
3.  $dF = pFd$ .
4.  $F$ ,  $V$ , and  $R$  all commute with each other.
5.  $FV = VF = p \cdot \text{id}$ .
6. For all  $x \in X_r^*$  with  $dx \in pX_r^*$ ,  $x \in \text{im}(F : X_{r+1}^* \rightarrow X_r^*)$ .
7. The kernel of  $R : X_{r+1}^* \rightarrow X_r^*$  equals the  $p$ -torsion subgroup of  $X_{r+1}^*$ .
8. The kernel of  $R : X_{r+1}^* \rightarrow X_r^*$  equals  $\text{im}(V^r) + \text{im}(dV^r)$ , where  $V^r$  and  $dV^r$  are the maps  $X_{r+1}^* \rightarrow X_r^*$ .

A morphism of strict Dieudonné towers is a family of morphisms  $X_r^n \rightarrow Y_r^n$  compatible with  $d$ ,  $R$ ,  $F$ , and  $V$ . We write **TD** for the resulting category.

**Proposition 2.3.** (2.6.2) *If  $M^*$  is a saturated Dieudonné complex, then  $(\mathcal{W}_r M)^*$  is a strict Dieudonné tower.*

The only nontrivial properties are (6) and (7). To prove these we use an easy lemma:

**Lemma 2.4.** (2.6.3) *If  $M^*$  is saturated and  $x \in M^*$  satisfies  $d(V^r x) \in pM^{*+1}$  for some  $r$ , then  $x \in \text{im } F$ .*

*Proof.* Since  $d(V^r x) \in pM^{*+1}$ , we have  $dx = F^r d(V^r x) \in pM^{*+1}$ , and then  $x \in \text{im } F$  because  $M^*$  is saturated.  $\square$

*Proof of proposition.* Property 7 amounts to saying that for  $x \in M^*$ , we have  $x \in \text{im } V^r + \text{im } dV^r$  if and only if  $px \in \text{im } V^{r+1} + \text{im } dV^{r+1}$ . The forward implication is clear:

$$\begin{aligned} p(V^r a + dV^r b) &= V^r(pa) + dV^r(pb) & (7) \\ &= V^{r+1}(Fa) + dV^{r+1}(Fb). & (8) \end{aligned}$$

For the reverse implication<sup>1</sup>, suppose  $px = V^r a + dV^r b$  for some  $a, b$ . Taking  $d$  of both sides, we see that  $d(V^r a)$  is a multiple of  $p$ . By the lemma, it follows that  $a = F\tilde{a}$  for some  $\tilde{a}$ . But then  $px = pV^{r-1}\tilde{a} + dV^r b$ , so  $dV^r b$  is also divisible by  $p$ , and thus  $b = F\tilde{b}$  for some  $\tilde{b}$ . We conclude that  $px = pV^{r-1}\tilde{a} + pdV^{r-1}\tilde{b}$ . Since  $M^*$  is  $p$ -torsion-free, we are done.

For property 6, suppose  $\bar{x} \in \mathcal{W}_r(M)^*$  has the property that  $dx \in p\mathcal{W}_r(M)^*$ . Choosing a representative  $x \in M^*$ , this means that  $dx = py + V^r a + dV^r b$  for some  $a, b, y \in M^*$ . Taking  $d$  of both sides, we see as before that  $d(V^r a)$  is divisible by  $p$  and so  $a = F\tilde{a}$  for some  $\tilde{a}$ . Then we have

$$d(x - V^r b) = p(y + V^{r-1}\tilde{a}), \quad (9)$$

so the fact that  $M^*$  is saturated implies that  $x - V^r b = F(z)$  for some  $z \in M^*$ . But this reduces to  $\bar{x} \in \mathcal{W}_r(M)^*$ , so  $\bar{z} \in \mathcal{W}_{r+1}(M)^*$  maps to  $\bar{x}$ , and we are done.  $\square$

**Proposition 2.5.** *Let  $(X_r^*)$  be a strict Dieudonné tower, and let  $X^* = \lim_{\leftarrow r} X_r^*$  be equipped with its natural Frobenius. Then  $(X^*, F)$  is a saturated Dieudonné complex.*

*Proof.* First of all, it is a Dieudonné complex, because the identity  $dF = pFd$  passes to the limit. To see that it is  $p$ -torsion-free, take any  $(x_r)_r$  in the inverse limit with  $p(x_r) = 0$ . By property 7, we have  $x_{r-1} = R(x_r) = 0$  for all  $r$ .

It now remains to prove the main property of saturated complexes: that  $F$  gives a bijection from  $X^*$  to  $\{x \in X^* : dx \in pX^{*+1}\}$ . Note that  $F$  is injective, because  $VF = p$  is. For surjectivity, suppose  $x = (x_i), x_i \in X_i^*$  has  $dx \in pX^*$ . By property 6, each  $x_i$  equals  $F(y_i)$  for some  $y_i \in X_i^*$ . These  $y_i$  are *not* compatible in general—but they are compatible after applying  $F$ , thus after applying  $VF = p$ , thus after applying  $R : X_i^* \rightarrow X_{i-1}^*$  by property 7. So the inverse system  $(R(y_{i+1}) \in X_i^*)$  is compatible and has the desired image under  $F$ .  $\square$

## 2.2 Homological properties of $\mathcal{W}_r(M)^*$

Our next goal is to show that the completion map  $M^* \rightarrow \mathcal{W}(M)^*$  is a *strictification*; that is, a universal morphism to a strict Dieudonné complex. In the process, we will prove some homological properties of  $\mathcal{W}_r(M)^*$  which will be useful later, and which will shed some light on the importance of taking the  $V$ -adic completion.

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<sup>1</sup>This proof is surprisingly reminiscent of the standard proof that  $\sqrt{p}$  is irrational!

**Proposition 2.6.** (*Generalized Cartier isomorphism*) Let  $M^*$  be a saturated Dieudonné complex and  $r \geq 0$ . The map  $F^r : M^* \rightarrow M^*$  induces an isomorphism of graded groups

$$\mathcal{W}_r(M)^* \rightarrow H^*(M^*/p^r M^*). \quad (10)$$

*Proof.* Given an element  $\bar{x} \in \mathcal{W}_r(M)^*$  represented by  $x \in M^*$ , we map  $\bar{x}$  to the cohomology class  $[F^r x] \in H^*(M^*/p^r M^*)$ ; note that this is a cycle because  $dF^r x = p^r F^r dx \in p^r M^{*+1}$ . This is well-defined because  $[V^r x] \mapsto [F^r V^r x] = [p^r x] = 0$  and  $[dV^r x] \mapsto [F^r dV^r x] = [dx] = 0$ . To prove injectivity, note that  $[F^r x] = 0$  implies that  $F^r x = p^r y + dz$ , so  $F^r(x - V^r y - dV^r z) = 0$ . But  $F^r$  is injective, so  $x$  must vanish in  $\mathcal{W}_r(M)^*$ . Surjectivity amounts to the statement that  $F^r : M^* \rightarrow \{x \in M^* : dx \in p^r M^*\}$  is surjective. In fact it is a bijection; this is the definition of saturation in the case  $r = 1$ , and follows from induction in general.  $\square$

Warning: this isomorphism does *not* pass to the inverse limit. In fact it is not even compatible with the obvious maps  $R : \mathcal{W}_r(M)^* \rightarrow \mathcal{W}_{r-1}(M)^*$  and  $H^*(M^*/p^r M^*) \rightarrow H^*(M^*/p^{r-1} M^*)$ , since the map at  $r$ th level is induced by  $F^r$ . It is compatible if one uses the map  $F : \mathcal{W}_r(M)^* \rightarrow \mathcal{W}_{r-1}(M)^*$ , but of course this gives a different inverse system.

This proposition tells us that  $\mathcal{W}_r(M)^*$  has a remarkable property: the complex  $\mathcal{W}_r(M)^*$  up to isomorphism “encodes” the complex  $M^*/p^r M^*$  up to quasi-isomorphism. This is extremely useful for our purposes: when constructing the de Rham-Witt complex of a ring  $R$ , we begin with the de Rham complex of a lift  $\tilde{R}$ , which is “the right complex up to quasi-isomorphism”, and applying the strictification will help us isolate “the right complex on the nose”. One way to make this precise is with the following rigidity result:

**Corollary 2.7.** Let  $f : M^* \rightarrow N^*$  be a morphism in  $\mathbf{DC}_{\text{sat}}$ . The following conditions are equivalent:

1.  $f$  induces a quasi-isomorphism  $M^*/pM^* \rightarrow N^*/pN^*$ .
2.  $f$  induces an isomorphism  $\mathcal{W}_1(M)^* \xrightarrow{\sim} \mathcal{W}_1(N)^*$ .
3. For all  $r \geq 0$ ,  $f$  induces a quasi-isomorphism  $M^*/p^r M^* \rightarrow N^*/p^r N^*$ .
4. For all  $r \geq 0$ ,  $f$  induces an isomorphism  $\mathcal{W}_r(M)^* \xrightarrow{\sim} \mathcal{W}_r(N)^*$ .

*Proof.* The proposition implies (1)  $\iff$  (2) and (3)  $\iff$  (4). The implication (3)  $\implies$  (1) is trivial, and (1)  $\implies$  (3) can be proved by induction on  $r$ .  $\square$

**Proposition 2.8.** (2.7.6): Let  $M^*$  be a saturated Dieudonné complex. Then  $\mathcal{W}(M)^*$  is strict; i.e. the map  $\rho_{\mathcal{W}(M)^*} : \mathcal{W}(M)^* \rightarrow \mathcal{W}(\mathcal{W}(M))^*$  is an isomorphism.

*Proof sketch.* One can check directly that  $\rho_{\mathcal{W}(M)} = \mathcal{W}(\rho_M)$ . So it suffices to check that  $\mathcal{W}_r(\rho_M) : \mathcal{W}_r(M)^* \rightarrow \mathcal{W}_r(\mathcal{W}(M))^*$  is an isomorphism for each  $r$ . By the previous corollary, it suffices to check this for  $r = 1$ . This can be done by hand.  $\square$

One can then prove that  $\rho_{M^*} : M^* \rightarrow \mathcal{W}(M)^*$  is in fact the *initial* map from  $M^*$  to a strict Dieudonné complex, and so the completion functor  $\mathbf{DC}_{\text{sat}} \rightarrow \mathbf{DC}_{\text{str}}$  is left-adjoint to the forgetful functor. We omit the proof.

At this point, one can show that  $(\mathcal{W}_r(-)) : \mathbf{DC}_{\text{str}} \rightarrow \mathbf{TD}$  is an equivalence of categories: the reverse equivalence is  $\lim_{\leftarrow}$ , and the only thing to check is that a strict Dieudonné tower  $(X_r)^*$  is naturally isomorphic to  $(\mathcal{W}_r(\lim_{\leftarrow i} X_i^*))$ .

The following results continue our theme of comparing  $M^*$  with  $\mathcal{W}(M)^*$ .

**Proposition 2.9.** *For  $M^* \in \mathbf{DC}_{\text{sat}}$ , the map  $\rho_M : M^* \rightarrow \mathcal{W}(M)^*$  induces quasi-isomorphisms  $M^*/p^r M^* \rightarrow \mathcal{W}(M)^*/p^r \mathcal{W}(M)^*$  for every  $r$ .*

*Proof sketch.* We stated this with “/p<sup>r</sup>” replaced with “ $\mathcal{W}_r$ ” in the proof of the last proposition. Apply the equivalence (3)  $\iff$  (4) in the previous corollary to that.  $\square$

The following result extends the last in two ways: it deals directly with the inverse limit (using a  $p$ -completion hypothesis), and applies to the strictification  $M^* \rightarrow \mathcal{W}\text{Sat}(M^*)$  of a not necessarily saturated Dieudonné complex  $M^*$ .

**Corollary 2.10.** *Let  $M^*$  be a Dieudonné complex of Cartier type (not necessarily saturated), and suppose each  $M^n$  is  $p$ -adically complete. Then the strictification map  $M^* \rightarrow \mathcal{W}\text{Sat}(M^*)$  is a quasi-isomorphism.*

*Proof.* Both the domain and codomain are complexes of  $p$ -complete,  $p$ -torsion-free abelian groups,<sup>2</sup> so it suffices<sup>3</sup> to show that  $M^*/pM^* \rightarrow \mathcal{W}\text{Sat}(M^*)/p\mathcal{W}\text{Sat}(M^*)$  is a quasi-isomorphism. But this factors as

$$M^*/pM^* \rightarrow \text{Sat}(M^*)/p\text{Sat}(M^*) \rightarrow \mathcal{W}\text{Sat}(M^*)/p\mathcal{W}\text{Sat}(M^*), \quad (11)$$

where the first map is a quasi-isomorphism by the Cartier criterion (the main theorem proved in Dan’s talk last week), and the second is the  $r = 1$  case of the previous proposition.  $\square$

### 3 Dieudonné algebras

**Definition 3.1.** A *commutative differential graded algebra (cdga)* is a complex  $(A^*, d)$  with the structure of a graded ring such that:

- a) Multiplication is graded-commutative: for  $x \in A^m$  and  $y \in A^n$ ,  $xy = (-1)^{mn}yx \in A^{m+n}$ .
- b) If  $x \in A^n$  with  $n$  odd, then  $x^2 = 0 \in A^{2n}$ . (This is automatic if  $A^*$  has no 2-torsion.)
- c) The Leibniz rule  $d(xy) = (dx) \cdot y + (-1)^m x \cdot dy$  for  $x \in A^m$ .

**Definition 3.2.** A *Dieudonné algebra*  $(A^*, d, F)$  is a cdga  $(A^*, d)$  equipped with a graded ring homomorphism  $F : A^* \rightarrow A^*$  such that:

1.  $dF = pFd : A^* \rightarrow A^{*+1}$ .

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<sup>2</sup>TO DO: clarify the subtlety in saying that  $\mathcal{W}\text{Sat}(M)^n$  is  $p$ -complete.

<sup>3</sup>TO DO: check this!

2.  $A^n = 0$  when  $n < 0$ .
3. For  $x \in A^0$ ,  $Fx \equiv x^p \pmod{p}$ .

These form a category  $\mathbf{DA}$ , where the morphisms are morphisms of graded rings compatible with  $d$  and  $F$ . Note that we have an obvious forgetful functor  $\mathbf{DA} \rightarrow \mathbf{DC}$ .

Reinterpretation: We can endow the category  $\mathbf{DC}$  with a tensor product, given by the usual tensor product of complexes (with  $d(x \otimes y) = dx \otimes y + (-1)^{|x|}x \otimes dy$  for  $x$  homogeneous) with  $F(x \otimes y) := F(x) \otimes F(y)$ . This makes  $\mathbf{DC}$  into a symmetric monoidal category, where the symmetry is given by the isomorphism

$$A^* \otimes B^* \xrightarrow{\sim} B^* \otimes A^* \tag{12}$$

$$a \otimes b \mapsto (-1)^{|a||b|}b \otimes a. \tag{13}$$

Then a Dieudonné algebra is a commutative algebra object in the category  $\mathbf{DC}$ , where the “commutativity” diagram encodes graded commutativity, and  $\mathbf{DA}$  is the full subcategory of  $\mathbf{CommAlg}(\mathbf{DC})$  where conditions 2, 3, and b above hold. (Note that strict Dieudonné complexes are automatically torsion-free, by fiat for  $p$ -torsion and by  $p$ -completeness for  $\ell$ -torsion, so condition b comes for free when  $A^*$  is strict.)

Remark: For  $A^*$  a Dieudonné algebra,  $\eta_p(A)^*$  is a Dieudonné subalgebra of  $A^*$ . The only subtle part is that  $Fx \equiv x^p \pmod{p(\eta_p A^0)}$ , because  $\eta_p A^0 \neq A^0$  in general. To show that it works, suppose  $x \in (\eta_p A)^0$ , so that  $dx = py$  for  $p \in A^1$ . Then since  $A^*$  is a Dieudonné algebra, we have  $Fx = x^p + pz$ , for some  $z \in A^0$ . To show that  $z \in (\eta_p A)^0$  (i.e.  $dz \in pA^1$ ), we calculate

$$p(dz) = d(pz) = d(Fx - x^p) = pF(dx) - px^{p-1}dx = p^2Fy - p^2x^{p-1}y \tag{14}$$

and cancel  $p$ 's.

Moreover, last week we saw that Frobenius operators on a  $p$ -torsion-free complex  $A^*$  correspond to maps  $\alpha : A^* \rightarrow \eta_p A^*$  of complexes, where  $\alpha = p^n F$  in degree  $n$ . The same discussion goes through in this complex, where the maps  $\alpha$  are required to be cdga homomorphisms:

$$\begin{array}{c} \{\text{graded ring homomorphisms } F : A^* \rightarrow A^* \text{ with } dF = pFd\} \\ \updownarrow \\ \{\text{cdga homomorphisms } \alpha : A^* \rightarrow \eta_p A^*\} \end{array}$$

Example: Let  $R$  be an  $\mathbb{F}_p$ -algebra, and  $W(R) = W(R)[0]$  its ring of Witt vectors, as a cdga concentrated in degree 0. Then the Witt vector Frobenius  $F : W(R) \rightarrow W(R)$  makes  $W(R)$  a Dieudonné algebra. This is saturated as a Dieudonné complex if and only if  $F$  is a bijection, if and only if  $R$  is perfect. In this case,  $W(R)$  is also  $p$ -complete and  $p^n W(R) = \text{im } V^n + \text{im } dV^n$ , so  $W(R)$  is also a strict Dieudonné complex. (Next week, Rahul will tell us about how the saturation and completion operations behave for Dieudonné algebras.)

### 3.1 The de Rham complex

As the following proposition shows, a more interesting example of a Dieudonné algebra is given by the absolute de Rham complex  $\Omega_R^* := \Omega_{R/\mathbb{Z}}^*$  of a ( $p$ -torsion-free) ring  $R$  equipped with a lift of Frobenius. (Remark: you can drop the  $p$ -torsion-free hypothesis by using  $\delta$ -maps instead of lifts of Frobenius, and taking some care with the setup. This is done in section 3.7 of the paper, but we will ignore it.)

**Proposition 3.3.** *Let  $R$  be a  $p$ -torsion-free commutative ring, and  $\varphi : R \rightarrow R$  a homomorphism with  $\varphi(x) \equiv x^p \pmod{p}$ . Then there is a unique ring homomorphism  $F : \Omega_R^* \rightarrow \Omega_R^*$  such that*

1. For each  $x \in R = \Omega_R^0$ , we have  $F(x) = \varphi(x)$ .
2. For each  $x \in R$ , we have  $F(dx) = x^{p-1}dx + d(\frac{\varphi(x)-x^p}{p})$ .

This  $F$  gives  $\Omega_R^*$  the structure of a Dieudonné algebra.

Interpretation of the second condition:  $F$  is the “divided Frobenius”  $\frac{\varphi^*}{p^n}$  on  $\Omega_R^n$ , where  $\varphi^* = \alpha_F$  is pullback of differential forms. As an aside, note that we could alternatively define the cdga homomorphism  $\alpha : \Omega_R^* \rightarrow \Omega_R^*$  to be pullback of differential forms, note by the calculation

$$\alpha(dx) = d\alpha(x) = d\varphi(x) \equiv d(x^p) = px^{p-1} \equiv 0 \pmod{p} \quad (15)$$

that  $\alpha$  is divisible by  $p$  on  $\Omega_R^1$ , and conclude (because  $\Omega^*$  is generated by  $\Omega^1$  as a ring) that  $\alpha$  is divisible by  $p^n$  on  $\Omega^n$ . Then if  $\Omega_R^*$  is  $p$ -torsion-free, we could define  $F$  as  $\frac{\alpha}{p^n}$  on  $\Omega^n$ . But in fact  $\Omega_R^*$  can have  $p$ -torsion even if  $R$  is quite reasonable. For example, if  $K$  is a number field, then  $\Omega_{\mathcal{O}_K/\mathbb{Z}}^1$  has  $p$ -torsion if and only if  $K/\mathbb{Q}$  is ramified at  $p$ . (Its annihilator is the different ideal of  $K/\mathbb{Q}$ .)

The proof of the proposition is explicit. Uniqueness of  $F$  is clear, as it has been specified on a set of generators of  $\Omega_R^*$ . One calculates that the map  $\rho : R \rightarrow \Omega_R^1$  given by  $x^{p-1}dx + d(\frac{\varphi(x)-x^p}{p})$  is a  $\varphi$ -linear derivation; i.e. an additive map satisfying  $\rho(xy) = \varphi(x)\rho(y) + \varphi(y)\rho(x)$ . The universal property of  $d : R \rightarrow \Omega_R^1$  implies that  $\rho$  factors as  $F \circ d$  for some  $\varphi$ -semilinear map  $F : \Omega_R^1 \rightarrow \Omega_R^1$ . Then  $F$  satisfies the relations  $(F(dx))^2 = 0$  for all  $x \in R$ , so it extends to the exterior algebra  $\Omega_R^*$ . Finally, one checks that it satisfies the necessary relation  $dF = pFd$  on the inputs  $x$  and  $dx$  ( $x \in R$ ), and that this identity is preserved by multiplication.

**Proposition 3.4.** *Let  $R$  and  $\varphi$  be as before, and view  $\Omega_R^*$  as a Dieudonné algebra. For any  $p$ -torsion-free Dieudonné algebra  $A^*$ , the restriction map*

$$\mathrm{Hom}_{\mathbf{DA}}(\Omega_R^*, A^*) \rightarrow \mathrm{Hom}_{\mathbf{ring}}(R, A^0) \quad (16)$$

is injective, and its image consists of homomorphisms  $f : R \rightarrow A^0$  such that the diagram

$$\begin{array}{ccc} R & \xrightarrow{f} & A^0 \\ \varphi \downarrow & & \downarrow F \\ R & \xrightarrow{f} & A^0 \end{array}$$

commutes.